

## CYBER RISK CONSULTING

# SEC Cyber Exam Readiness

In response to growing cyber-attacks, regulatory bodies around the world have begun to offer guidance and introduce regulations to bolster cybersecurity. The US Securities and Exchange Commission (SEC) has for several years put a spotlight on cybersecurity, increasing expectations that financial sector entities step up their cyber preparedness.

In 2014 the commission introduced cybersecurity preparedness into its National Exam Program. The exam's objectives include promoting compliance, preventing fraud, identifying and monitoring risk, and informing policy. Releasing specific guidance to aid public companies in disclosing their cyber risk exposure in 2018, the SEC continued to emphasize cyber readiness, making cybersecurity among its priorities for the 2019 exam.

Marsh can help SEC-regulated entities prepare for this crucial examination. Our SEC Cyber Exam Readiness program applies our risk assessment methodology and securities' industry expertise to publicly available and widely used frameworks, including the National Institute of Standards and Technology (NIST) Cybersecurity Framework (CSF) and NIST 800-53.

### WHO IT'S FOR

- SEC-regulated entities, including investment managers, broker-dealers, and self-regulatory organizations.
- Other market participants that need to protect against cyber threats and comply with public disclosure requirements.

### WHAT YOU GET

- Assessment for exam readiness against NIST CSF and NIST 800-53 controls.
- Identification of policy gaps.
- Prioritized list of risk-reducing recommendations.
- Participation in a mock SEC exam.

# The Four Pillars of Marsh SEC Cyber Exam Readiness



## Marsh Expertise

Chris Hetner, managing director of Marsh Cyber Risk Consulting and a former senior advisor to the SEC Chairman on Cybersecurity, runs these assessments, bringing his considerable expertise on the topic to clients.

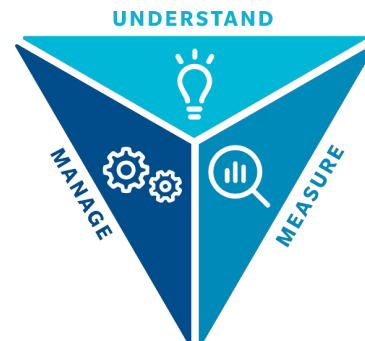
## Enterprise Risk

Marsh Cyber Risk Consulting helps our clients understand, measure, and manage their cybersecurity programs, providing a holistic approach to enterprise cyber risk. We offer an extensive suite of consulting services to help with cybersecurity program development, cyber risk assessment, security operations enhancement, and cyber risk quantification.

**Understand:** We make cyber risk exposure meaningful to executives and boards.

**Measure:** We help quantify the financial impact of cyber risk exposure.

**Manage:** We enable our clients to make strategic cybersecurity decisions, including whether to accept, transfer, or mitigate cyber risk, based on objective data.



For more information, visit [marsh.com](http://marsh.com), contact your Marsh representative, or contact:

CHRIS HETNER  
Managing Director, Cyber Risk Consulting, Marsh  
212-345-6860  
[christopher.hetner@marsh.com](mailto:christopher.hetner@marsh.com)

THOMAS FUHRMAN  
Managing Director, Cyber Risk Consulting, Marsh  
202-263-7827  
[thomas.fuhrman@marsh.com](mailto:thomas.fuhrman@marsh.com)

KEVIN RICHARDS  
Managing Director and Global Head of Cyber Risk Consulting, Marsh  
312-627-6397  
[kevin.richards@marsh.com](mailto:kevin.richards@marsh.com)

Marsh is one of the Marsh & McLennan Companies, together with Guy Carpenter, Mercer, and Oliver Wyman.

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