

AMENDED GENERAL INFORMATION SHEET

FOR THE YEAR **2020**

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: MARSH PHILIPPINES, INC.		DATE REGISTERED: 7/21/2011
BUSINESS/TRADE NAME: MARSH PHILIPPINES RISK ADVISERS, INSURANCE AND REINSURANCE BORKERS		FISCAL YEAR END: December 31
SEC REGISTRATION NUMBER: CS201112886		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 008-091-478
DATE OF ANNUAL MEETING PER BY-LAWS: Any day of April of each year		WEBSITE/URL ADDRESS: N/A
ACTUAL DATE OF ANNUAL MEETING: 4/13/2020		E-MAIL ADDRESS: NONE
COMPLETE PRINCIPAL OFFICE ADDRESS: Six/Neo (formerly, Net Lima Building) 5th Avenue cor 26th Street, Bonifacio Global City, Taguig City		FAX NUMBER: N/A
COMPLETE BUSINESS ADDRESS: Six/Neo (formerly, Net Lima Building) 5th Avenue cor 26th Street, Bonifacio Global City, Taguig City		TELEPHONE NUMBER(S): 902-3281
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: Navarro Amper & Co. - Nina Cecilia Sanchez-Felismino		SEC ACCREDITATION NUMBER (if applicable): N/A
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: <small>To act or aid in any manner in soliciting, negotiating, procuring the making of any insurance contract, or in placing risk or in taking out insurance, for compensation, commission or any valuable consideration, as well as to act and aid in any manner in negotiating contracts of reinsurance, or placing risks of effecting reinsurance for any insurance company, and all other acts relative to risk management and various project financing.</small>		INDUSTRY CLASSIFICATION: N/A
		GEOGRAPHICAL CODE: 902-3281

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
J&H Marsh McLennan Ltd.	N/A	Suite 3402-3406, 34/F & 33/F, One Taikoo Place, 979 King's Road, Quarry Bay, Hong Kong
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
Jardine Lloyd Thompson Insurance and Reinsurance Brokers, Inc.	99174	2nd Flr. 11 Paseo de Roxas cor. Legaspi St, Makati City, Philippines

NOTE: USE ADDITIONAL SHEET IF NECESSARY

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name:

MARSH PHILIPPINES, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) **Yes** **No**

Please check the appropriate box:

1.

- a. Banks
- b. Offshore Banking Units
- c. Quasi-Banks
- d. Trust Entities
- e. Non-Stock Savings and Loan Associations
- f. Pawnshops
- g. Foreign Exchange Dealers
- h. Money Changers
- i. Remittance Agents
- j. Electronic Money Issuers
- k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

4. Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- a. acting as a formation agent of juridical persons
- b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- d. acting as (or arranging for another person to act as) a nominee shareholder for another person

2.

- a. Insurance Companies
- b. Insurance Agents
- c. Insurance Brokers
- d. Professional Reinsurers
- e. Reinsurance Brokers
- f. Holding Companies
- g. Holding Company Systems
- h. Pre-need Companies
- i. Mutual Benefit Association
- j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

7. Persons who provide any of the following services:

- a. managing of client money, securities or other assets
- b. management of bank, savings or securities accounts
- c. organization of contributions for the creation, operation or management of companies
- d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

3.

- a. Securities Dealers
- b. Securities Brokers
- c. Securities Salesman
- d. Investment Houses
- e. Investment Agents and Consultants
- f. Trading Advisors
- g. Other entities managing Securities or rendering similar services
- h. Mutual Funds or Open-end Investment Companies
- i. Close-end Investment Companies
- j. Common Trust Funds or Issuers and other similar entities
- k. Transfer Companies and other similar entities
- l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- m. Entities administering of otherwise dealing in valuable objects
- n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

8. None of the above

Describe nature of business:

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

Yes **No**

**AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		MARSH PHILIPPINES, INC.					
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	Common	10,000,000	10.00	100,000,000.00			
TOTAL		10,000,000	TOTAL P	100,000,000.00			
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	7	Common	7		10.00	70.00	0.0001%
TOTAL			7	TOTAL	TOTAL P	70.00	0.0001%
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Hong Kong	1	Common	4,999,992	N/A	10.00	49,999,920.00	99.9999%
Canadian	1	Common	1	N/A	10.00	10.00	0.0000%
Percentage of Foreign Equity :			TOTAL	4,999,993	TOTAL P	49,999,930.00	99.9999%
					TOTAL SUBSCRIBED P	50,000,000.00	100.00%
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	7	Common	7	10.00	70.00	0.0001%	
TOTAL			7	TOTAL P	70.00	0.0001%	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
Hong Kong	1	Common	4,999,992	10.00	49,999,920.00	99.9999%	
Canadian	1	Common	1	10.00	10.00	0.0000%	
100.00 %			TOTAL	4,999,993	TOTAL P	49,999,930.00	99.9999%
					TOTAL PAID-UP P	50,000,000.00	100.00%

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: **MARSH PHILIPPINES, INC.**

DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. Ramon Zandueta 15 Upton St., Filinvest East, Marcos Highway Cainta Rizal	Filipino	Y	C	M	Y	N/A	N/M, C/M	134-526-900
2. Paulino Garcia III 1502B, The Ritz Tower 6475, Ayala Avenue, Makati City	Filipino	N	M	M	Y	President	N/A	163-080-240
3. German F. Plan, Jr. 111 Kapok St., San Jose, Caloocan City	Filipino	Y	M	M	Y	N/A	N/A	149-488-069
4. Carmen Amacio 38 Regatta Drive, Regatta Subd., Anabu 2B, Imus, Cavite	Filipino	Y	M	F	Y	Treasurer	N/A	108-132-189
5. Susan C. Marrero 11-519 Riverfront Residences, Dr. Sixto Antonio Ave., Caniogan, Pasig City	Filipino	N	M	F	Y	N/A	A/M	101-647-445
6. Jonathan Juan Moreno No. 10, Colt St., Cavalry Hills, 38th Drive, Bonifacio Global City, Makati City	Filipino	N	M	M	Y	N/A	A/C	127-212-995
7. Joseph Anthony M. Alejandro Unit 2008, Westgate Plaza, H. V. Dela Costa St., Salcedo Vill., Makati City	Filipino	N	M	M	Y	N/A	N/M, N/M	191-021-999
8. James Nigel Addington -Smith 1 Charsworth Rd. #12-25 One Chatsworth, Singapore	Canadian	N	M	M	Y	N/A	N/M, C/M	747-682-571
10. Andre B. Navato, Jr. 109 HV Dela Costa Street, Salcedo Village, Makati City	Filipino	N	N	M	N	Corporate Secretary	N/A	910-751-939
12. Christianne Grace F. Salonga Cordillera St., La Loma, Quezon City	Filipino	N	N	F	N	Asst. Corporate Secretary	N/A	269-003-353
13.								
14.								
15.								

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		MARSH PHILIPPINES, INC.				
TOTAL NUMBER OF STOCKHOLDERS:		9	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		1	
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:		P952,667,000				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1 J&H Marsh McLennan Ltd. Hong Kong 26/F Central Plaza, 18 Harbour Road, Wanchai, Hong Kong	Common	4,999,992	49,999,920.00	99.9999%	49,999,920.00	437-502-672
	TOTAL	4,999,992	49,999,920.00			
2. Paulino Garcia III Filipino 1502B, The Ritz Tower 6475, Ayala Avenue, Makati City	Common	1	10.00	0.00%	10.00	163-080-240
	TOTAL	1	10.00			
3. German F. Plan, Jr. Filipino 111 Kapok St., San Jose, Caloocan City, Philippines	Common	1	10.00	0.00%	10.00	149-488-069
	TOTAL	1	10.00			
4. Ramon L. Zandueta Filipino 15 Upton St., Filinvest East, Marcos Highway Calinta Rizal, Philippines	Common	1	10.00	0.00%	10.00	134-526-900
	TOTAL	1	10.00			
5. Carmen Amacio Filipino 38 Regatta Drive, Regatta Subd., Anabu 2B, Imus, Cavite, Philippines	Common	1	10.00	0.00%	10.00	108-132-189
	TOTAL	1	10.00			
6. Susan C. Marrero Filipino 11-519 Riverfront Residences, Dr. Sixto Antonio Ave., Caniogan, Pasig City, Philippines	Common	1	10.00	0.00%	10.00	101-647-445
	TOTAL	1	10.00			
7. Joseph Anthony M. Alejandro Filipino Unit 2008, Westgate Plaza, H. V. Dela Costa St, Salcedo Vill., Makati City, Philippines	Common	1	10.00	0.00%	10.00	191-021-999
	TOTAL	1	10.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			49,999,980.00	100%	49,999,980.00	
TOTAL AMOUNT OF PAID-UP CAPITAL					49,999,980.00	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

**AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		MARSH PHILIPPINES, INC.				
TOTAL NUMBER OF STOCKHOLDERS:		9	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		1	
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:		P952,667,000				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
8 Jonathan Juan Moreno Filipino Unit 312, The Sequoia Tower, Two Serendra, BGC, Taguig City 1634	Common	1	10.00	0.00%	10.00	127-212-995
	TOTAL	1	10.00			
9. James Nigel Addington-Smith Canadian 1 Chatsworth Rd. #12-25 One Chatsworth, Singapore	Common	1	10.00	0.00%	10.00	747-682-571
	TOTAL	1	10.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			20.00	100%	50,000,000.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee Included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

**AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION**

----- PLEASE PRINT LEGIBLY -----			
CORPORATE NAME: MARSH PHILIPPINES, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	N/A	N/A	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A	N/A	
1.3 LOANS/ CREDITS/ ADVANCES	1,018,750,000.00	January 28, 2020	
1.4 GOVERNMENT TREASURY BILLS	N/A	N/A	
1.5 OTHERS	N/A	N/A	
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
N/A	N/A	N/A	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	2,626,449	34%	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: P333,643,906.00			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: N/A			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	N/A	N/A	
5.2 STOCK	N/A	N/A	
5.3 PROPERTY	N/A	N/A	
TOTAL	P		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
N/A	N/A	N/A	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	N/A	N/A	N/A
DATE ISSUED:	N/A	N/A	N/A
DATE STARTED OPERATIONS:	N/A	N/A	N/A
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (In PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
1,111,111.00	53	125	178

NOTE: USE ADDITIONAL SHEET IF NECESSARY


I, Andre B. Navato, Jr. , Corporate Secretary of MARSH PHILIPPINES, INC. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

DEC 21 2020
Done this 21 day of December 2020 in Makati City.




ANDRE B. NAVATO, JR.
(Signature over printed name)

DEC 21 2020
SUBSCRIBED AND SWORN TO before me on this 21 day of December 2020 in Makati City by affiant who personally appeared before me and exhibited to me his competent evidence of identity consisting of Community Tax Certificate No. 04386019 issued on 5 February 2020 at Makati City; Driver's License No. NO1-88-075063 and TIN No. 910-751-939.

Doc. No.: 314;
Page No.: 64;
Book No.: III;
Series of 2020.




ATTY. JAMES PATRICK O. ALOJADO
Notary Public for Taguig City
Until December 31, 2020
RFB No. A-4764418; January 4, 2020 – Taguig City
BP No. 102626; January 6, 2020 – PPLM
Appointment / Commission No. 38 (2019-2020)
Roli No. 66446
Angela Abello Concepcion Regala & Cruz Law Offices
22/F ACCRALAW Tower
2nd Avenue corner 30th St., Crescent Park West,
Bonifacio Global City, 1635 Taguig, Metro Manila
MCLE Compliance No. VI-0005775; January 15, 2017

BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER:
CORPORATE NAME:

CS201112886
MARSH PHILIPPINES, INC.

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in Items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

<u>Category</u>	<u>Description</u>
A	Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
B	Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
C	Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
D	Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
E	Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
F	Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
G	Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
H	Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
I	Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Anthony K. Anderson	1166 Avenue of the Americas, New York, NY10036, USA	American	11/17/1955	N/A	N/A	I	I - Senior Managing Official
Oscar M. Fanjul	1166 Avenue of the Americas, New York, NY10036, USA	Spaniard	5/20/1949	N/A	N/A	I	I - Senior Managing Official
Daniel S. Glaser	1166 Avenue of the Americas, New York, NY10036, USA	American	8/11/1960	N/A	N/A	I	I - Senior Managing Official
H. Edward Hanway	1166 Avenue of the Americas, New York, NY10036, USA	American	2/6/1952	N/A	N/A	I	I - Senior Managing Official
Deborah C. Hopkins	1166 Avenue of the Americas, New York, NY10036, USA	American	11/12/1954	N/A	N/A	I	I - Senior Managing Official
Tamara Ingram	1166 Avenue of the Americas, New York, NY10036, USA	English	10/1/1960	N/A	N/A	I	I - Senior Managing Official
Steven A. Mills	1166 Avenue of the Americas, New York, NY10036, USA	American	8/7/1951	N/A	N/A	I	I - Senior Managing Official
Bruce P. Nolop	1166 Avenue of the Americas, New York, NY10036, USA	American	11/11/1950	N/A	N/A	I	I - Senior Managing Official
Marc D. Oken	1166 Avenue of the Americas, New York, NY10036, USA	American	10/10/1946	N/A	N/A	I	I - Senior Managing Official
Morton O. Schapiro	1166 Avenue of the Americas, New York, NY10036, USA	American	7/13/1953	N/A	N/A	I	I - Senior Managing Official
Lloyd M. Yates	1166 Avenue of the Americas, New York, NY10036, USA	American	10/5/1960	N/A	N/A	I	I - Senior Managing Official
Robert D. Yost	1166 Avenue of the Americas, New York, NY10036, USA	American	7/3/1947	N/A	N/A	I	I - Senior Managing Official

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.

¹ Senior Managing Official
Grand Parent Entity